

# 2021 SUMMER SPECTACULAR

## Entry Information and Rules

1. To be held in Fort Worth, TX at the Will Rogers Memorial Center
2. All owners and riders of entered horses must be members in good standing of the National Cutting Horse Association.
3. Events
  - a. Derby: For four (4) year old (foals of 2017), all breeds.
  - b. Classic Challenge: For five & six (5-6) year old (foals of 2016, 2015), all breeds.
4. Divisions & Levels Offered
  - a. The show will be held in three divisions:
    - i. Open - Entry is made by horse. Owners may enter an unlimited number of horses in the Open Division. Once named, horse limits are imposed on the rider.
    - ii. Non-Pro - Entry is made by rider. Horse limits are imposed on the rider.
    - iii. Amateur – Entry is made by rider. Horse limits are imposed on the rider.
  - b. Within each division there are 3 levels based on RIDER eligibility:
    - i. Top Tier (Open / Non Pro / Amateur)
    - ii. Intermediate
    - iii. Limited
  - c. A horse may be entered in all three divisions but only one time per level.
  - d. Entering multiple Levels within a division
    - i. OPEN
      1. Open / Intermediate riders will enter the Top Tier Open. If eligible, riders will also be automatically entered into the Intermediate.
      2. Limited riders can enter the Open as a second entry. But the entry will not be combined, and they will ride twice into the herd.
    - ii. NON PRO & AMATEUR – Riders have two choices when entering more than one level.
      1. Entering Two Levels
        - a. Option 1 = Separate Entries. Entry can be made into two separate levels. The rider will ride twice into the herd. Example, Rider A enters the Non Pro Top Tier and the Limited Non Pro separately. They receive 2 draws within the first go round and 2 separate scores. The horse will not be drawn twice in the same set.
        - b. Option 2 = Combo Entry. Entry can be made into a combo for the 2 levels where the rider receives only 1 draw within the first go and only 1 score for both levels. Example, Rider B enters the Amateur Top Tier and the Limited Amateur Combo. They receive 1 draw within the first go round and that 1 score counts for both levels.
      2. Entering Three Levels

- a. Option 1 = 1 Separate Plus 2 Combo. A horse cannot show more than twice in the same division. Entry can be made into one level separately and the other two as a combo. Example, Rider C enters the Intermediate separately and the Non Pro Top Tier and Limited Non Pro Combo. They receive 2 goes in the division go round. The score for the Intermediate stands alone and the score for the Non Pro Top Tier and Limited Non Pro Combo counts for both the Non Pro Top Tier and the Limited Non Pro.
- b. Option 2 = All 3 as a Combo. A rider only wants to ride to the herd 1 time but wants to enter all 3 levels. They enter the Amateur – Intermediate – Limited combo. Example, Rider D enter the triple level combo. They will receive only 1 draw for the division and that 1 score will count for all 3 levels.

**5. Level Eligibility**

- a. Time Frame - Eligibility for each of the 3 levels are determined by the value of the Rider’s Total Lifetime Earnings as of December 28<sup>th</sup> of the previous year. Total Lifetime Earnings include both Limited Aged Event earnings and Weekend earnings. All NCHA earnings includes AWO & AQHA.
- b. Level Earning Limits:

| <b>Division</b>      | <b>Rider Lifetime Total Earnings</b> |
|----------------------|--------------------------------------|
| Open                 | \$750,000 or more                    |
| Intermediate Open    | \$200,001 - \$749,999*+              |
| Limited Open         | \$0 - \$200,000                      |
|                      |                                      |
| Non-Pro              | \$500,000 or more                    |
| Intermediate Non-Pro | \$100,001 - \$499,999*+              |
| Limited Non – Pro    | \$0 - \$100,000                      |
|                      |                                      |
| Amateur              | \$100,000 or more                    |
| Intermediate Amateur | \$25,001 - \$99,999*+                |
| Limited Amateur      | \$0 - \$25,000                       |

- c. Exceptions:
  - i. There is no exception that allows a rider to return to the Limited level.
  - ii. \*Senior Exception: Riders age 70 and older as of date the level begins can enter the Intermediate level in all divisions regardless of earnings or rider rankings.
  - iii. \*Intermediate Revolving Door Exception: Open, Non-Pro or Amateur riders not on the Top 100 money earning rider list for the previous two-point years in any division, may enter the Intermediate level in their respective division(s).
  - iv. Amateur Earnings Cap
    - 1. Amateur Competitors 70 and older as of the day the 1<sup>st</sup> go of the Amateur begins may enter the top tier Amateur or the Intermediate Amateur.
    - 2. Qualified Amateur competitors who are 60-69, may compete in the top tier Amateur class regardless of Limited Age Non-Pro earnings.

3. Amateur competitors under the age of 60 remain subject to the \$250,000 LAE Non Pro Cap earnings cap. If eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.
- v. Non-Pro competitors remain subject to Standing Rule 50.d.6.
  1. If Open eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.
- d. Ineligibility
  - i. Prior to the draw – all efforts will be made to identify ineligible entries prior to the draw. In all cases, including rider changes after the draw, the burden of proof of eligibility is on the rider. If a rider has attempted to compete in these classes and the horse is found to be ineligible, disciplinary action may be taken.
  - ii. In the case where a rider competes in a level for which he/she is not eligible, they will be disqualified, no premium monies paid, and no refunds will be issued.
6. Entry Options (Slots)
  - a. Open Division Levels:
    - i. Entry must be made in the name of the Horse.
  - b. Non Pro Division Levels:
    - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
    - ii. You may enter under your name (slot).
  - c. Amateur Division Levels:
    - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
    - ii. You may enter under your name (slot).
  - d. Sub Classes:
    - i. Rules For all:
      1. Subclass entries must have a corresponding entry in the same Division / Level pairing.
      2. In the event there are less than three (3) entries at the start of the go round for any subclass, class will not be held, and entries will be refunded.
      3. All sub classes have non-working finals.
      4. Payout will be made according to the NCHA payout, to the highest advancing horses.
    - ii. **\$10,000 Novice horse**
      1. This is for 4-year-old horses
      2. Available only in the only in the TOP TIER Open, Non Pro and Amateur Levels
      3. Limited to horses with lifetime earnings (monies won in all classes will count against a horse's eligibility total) of \$10,000 and less as of June 4, 2021. All Limited Age Events & weekend shows processed by the office by June 4, 2021 will determine horse's eligibility.
      4. By June 4, 2021 if horse is known to be ineligible to compete in the \$10,000 novice class and has already entered, monies paid in will be refunded.
    - iii. **Senior**
      1. This sub class is available in all Divisions and Levels.
      2. Senior classes will be limited to riders who are 60 years of age or older at the time the class starts.
      3. Date of birth will be required at date of entry.

4. Intermediate Open Senior must be entered separately. ENTRY IS NOT AUTOMATIC.

iv. **Gelding:**

1. This sub class is available in all Divisions and Levels.
2. Please submit notification to the NCHA office of gelding updates.
3. Intermediate Open Gelding must be entered separately. ENTRY IS NOT AUTOMATIC.

7. Horse Limits / Disqualifications

- a. Derby: A Rider may ride up to three (3) horses.
  - i. One (1) horse – any sex
  - ii. Two (2) horses – any sex
  - iii. Three (3) horses – one must be an eligible Gelding and be entered in a gelding subclass. All riders who have a third horse are required to submit rider changes/additions before the first go-round begins.
- b. Classic Challenge: Riders may ride a maximum of four (4) horses in each of the 5/6 year old levels regardless of sex.
- c. Disqualifications
  - i. Open Levels: Any horse(s) **over the allowed number of entries** will be disqualified. The disqualified entry or entries will be determined by the latter placement in the draw.
  - ii. Non-Pro & Amateur Levels: **All horse(s)** will be disqualified in that level or subclass.

8. Entry Fees for all Events (Derby & Classic):

**OPEN\***

|                           | Total*         | Jackpot+ | Office~ |
|---------------------------|----------------|----------|---------|
| Open / Intermediate Open^ | <b>\$3,000</b> | \$2,000  | \$900   |
| Limited Open              | <b>\$1,300</b> | \$950    | \$250   |

^Horses will be automatically entered in the Intermediate Open based on rider eligibility.

\*Open levels include optional \$100 PAC Fee

~Office Fee includes medication, NCHA and processing fees

**NON PRO\***

|   | Total*         | Jackpot+ | Office | Medication | NCHA | Processing |
|---|----------------|----------|--------|------------|------|------------|
| Non Pro                                 | <b>\$2,675</b> | \$1,975  | \$515  | \$25       | \$50 | \$35       |
| Intermediate Non Pro                    | <b>\$1,775</b> | \$1,205  | \$385  | \$25       | \$50 | \$35       |
| Limited Non Pro                         | <b>\$1,245</b> | \$775    | \$285  | \$25       | \$50 | \$35       |
| Combo: Non Pro + Intermediate + Limited | <b>\$4,655</b> | \$3,955  | \$515  | \$25       | \$50 | \$35       |
| Combo: Non Pro + Intermediate           | <b>\$3,880</b> | \$3,180  | \$515  | \$25       | \$50 | \$35       |
| Combo: Non Pro + Limited                | <b>\$3,450</b> | \$2,750  | \$515  | \$25       | \$50 | \$35       |
| Combo: Intermediate + Limited           | <b>\$2,550</b> | \$1,980  | \$385  | \$25       | \$50 | \$35       |

\*Non Pro levels include optional \$75 PAC fee

**AMATEUR\***

|                                    | Total*         | Jackpot+ | Office | Medication | NCHA | Processing |
|------------------------------------|----------------|----------|--------|------------|------|------------|
| Amateur                            | <b>\$1,500</b> | \$1,005  | \$365  | \$25       | \$50 | \$35       |
| Intermediate Amateur               | <b>\$1,290</b> | \$795    | \$365  | \$25       | \$50 | \$35       |
| Limited Amateur                    | <b>\$895</b>   | \$400    | \$365  | \$25       | \$50 | \$35       |
| Amateur-Intermediate-Limited Combo | <b>\$2,695</b> | \$2,200  | \$365  | \$25       | \$50 | \$35       |
| Amateur + Intermediate Combo       | <b>\$2,295</b> | \$1,800  | \$365  | \$25       | \$50 | \$35       |
| Amateur + Limited Combo            | <b>\$1,900</b> | \$1,405  | \$365  | \$25       | \$50 | \$35       |
| Intermediate + Limited Combo       | <b>\$1,690</b> | \$1,195  | \$365  | \$25       | \$50 | \$35       |

\*Amateur levels include optional \$20 PAC fee

**PAC Fees:** Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your level.

**\$10,000 Novice** Subclass for 4-Year-Old top tier Open, Non-Pro and Amateur levels only

|                                 | Total        | Jackpot+ | Office | Medication | NCHA | Processing |
|---------------------------------|--------------|----------|--------|------------|------|------------|
| On/ Before June 4 <sup>th</sup> | <b>\$535</b> | \$450    | \$50   |            |      | \$35       |

**Senior** Subclass offered with all Divisions/ levels (Int Open NOT AUTO ENTRY)

|                                 | Total        | Jackpot+ | Office | Medication | NCHA | Processing |
|---------------------------------|--------------|----------|--------|------------|------|------------|
| On/ Before June 4 <sup>th</sup> | <b>\$535</b> | \$450    | \$50   |            |      | \$35       |

**Gelding** Subclass offered with all Divisions/ levels (Int Open NOT AUTO ENTRY)

|                                 | Total        | Jackpot+ | Office | Medication | NCHA | Processing |
|---------------------------------|--------------|----------|--------|------------|------|------------|
| On/ Before June 4 <sup>th</sup> | <b>\$535</b> | \$450    | \$50   |            |      | \$35       |

+A one percent (1%) deduction will be made from every Jackpot for the NCHA Special Events Fund.

**9. Entry Deadlines / Penalties / Late Entries**

**a. Due Dates:**

- i. Initial entries and first payments must be POSTMARKED by May 7, 2021.
- ii. Subsequent payments (second payments) must be IN OFFICE by June 4, 2021.
- iii. Penalties will be assessed beginning on the day following the deadline on all payments. See Section 15 for Double Entry privilege exclusions.
- iv. Division / Primary Level Penalty Deadlines:

| Date                                  | Applicable Fee                                   |
|---------------------------------------|--|
| After May 7, 2021                     | 50% of the first payment due.                    |
| After June 4, 2021                    | 50% of the original entry fee (Jackpot + Office) |
| After draw – 1 <sup>st</sup> Go Round | 2x Original entry fee (Jackpot + Office)         |

1. Adding an additional Level to an existing Entry (i.e., Limited Non Pro to existing Non Pro entry / Top Tier Amateur to an existing Intermediate Amateur entry, etc.):

- a. Prior to draw – no penalty
  - b. After draw = 50% of Jackpot of the level being added.
- 2. All Subclasses:
  - a. Prior to draw – no penalty
  - b. After draw = 50% of Jackpot + Office. (\$250).
- b. Non-Payment:** Issuance of a check that is not honored for any reason or credit card payment that is declined for any reason to cover fees shall constitute non-payment. Entries that are deemed Non-Payment will have Penalties assessed according to the dates listed above.
- c. Failure to Pay:** All payments must be made payable to the National Cutting Horse Association in United States funds or its equivalent. All fees, including late penalties, must be paid before the draw or entry will be cancelled without refund.

## 10. Refunds

- a. Entry Fees may be refunded due to the death of the entered rider, owner or an immediate family member of the rider or owner as defined in NCHA Rule 50.4.d; 30 days or less before the 1<sup>st</sup> day of the event. Requests for refunds shall be accepted from the estate executor/executrix and refunds will be made payable to the estate of the rider/owner as determined appropriate by Probate Court. Any refund request shall be accepted until 48 hours after an event completed to file a request for a refund.
- b. Subclasses that are not held when minimum entry requirement is not met will be refunded to the original payer.
- c. Novice horses found to be ineligible to compete by June 4<sup>th</sup> will have monies refunded to the original payer.

## 11. Purse Payouts

- a. Payouts at all NCHA produced events will be made in:
  - i. Open Levels to owner on record at the time of the event.
  - ii. Non Pro and Amateur Levels to the rider.
- b. Premium money will be paid to all finalists.

## 12. Advancement Criteria & Payout Calculations

- a. Stipulations applying to all Divisions and Levels:
  - i. LATE entries (after the draw)
    - 1. Will not be included when calculating the advancing number of entries to the second go, semi-finals, or finals.
    - 2. Will be required to work last in the herd. Additional cattle will not be added for late entries.
  - ii. Only scores of 181 or higher will advance further in competition.
  - iii. All other horses will be dropped from further competition.
  - iv. All advancing counts are “Plus ties”.
  - v. When finals are held, scores made in the go rounds and semi-finals will be disregarded. ONLY scores made in the finals will be used to determine final placings.

**b. OPEN LEVELS**

**i. 4 Year Old / 5 & 6 Year Old – based on Entry Counts at time of draw:**

| # of Entries                         | ADVANCING TO 2 <sup>ND</sup> GO | ADVANCING TO SEMI-FINALS          | ADVANCING TO FINALS   |
|--------------------------------------|---------------------------------|-----------------------------------|-----------------------|
| <b>OPEN</b>                          |                                 |                                   |                       |
| 0 – 25                               | None                            | None                              | Non Working Mandatory |
| 26 -124                              | Top 60% Max 180                 | None                              | 25% Max 25            |
| 125 – 160                            | Top 60% Max 180                 | Non Working Composite (45)        | 25% Max 25            |
| 161+                                 | Top 60%                         | Top 20% Composite Min 45 - Max 60 | Top 20                |
| <b>INTERMEDIATE OPEN<sup>^</sup></b> |                                 |                                   |                       |
| 0 – 25                               | None                            | None                              | Non Working Mandatory |
| 26 -124                              | Open Advancing*                 | None                              | 25% Max 25            |
| 125 – 160                            | Open Advancing*                 | Non Working Composite (45)        | 25% Max 25            |
| 161+                                 | Open Advancing*                 | Top 20% Composite Min 45 - Max 60 | Top 20                |
| <b>LIMITED OPEN</b>                  |                                 |                                   |                       |
| 0 – 25                               | None                            | None                              | Non Working Mandatory |
| 26 -124                              | None                            | None                              | 25% Max 25            |
| 125 – 160                            | None                            | Non Working Composite (45)        | 25% Max 25            |
| 161+                                 | None                            | Top 20% Composite Min 45 - Max 60 | Top 20                |

\* Intermediate riders that advance in the Open will run concurrently in the Open 2<sup>nd</sup> Go.

Intermediate finals will be based on the highest advancing 25% of scores.

<sup>^</sup>Entry count for the Intermediate Open will be determined at the draw. Unnamed Slots and rider changes after the draw will be automatically entered in the Intermediate but will NOT affect Intermediate entry count.

**ii. Open Payouts (All Levels)**

1. Open / Intermediate TOTAL PURSE will be split 75% Open / 25% Intermediate. Limited is a stand-alone purse.
2. 0 – 25 entries – 100% of split purse paid according to the NCHA mandatory payout.
3. 26 – 124 entries – 100% of split purse paid in the finals. No semi-finals payout.
4. 125 – 160 entries: 40% of split purse paid to non-working semi-finals through 45<sup>th</sup> place. 60% paid in the finals.
5. 161+ entries - 50% of the split purse will be paid in semi-finals and 50% in the finals.

**c. NON PRO LEVELS**

**i. 4 Year Old Derby:**

| # of Entries                | ADVANCING TO<br>2 <sup>ND</sup> GO | ADVANCING TO<br>SEMI-FINALS       | ADVANCING TO<br>FINALS |
|-----------------------------|------------------------------------|-----------------------------------|------------------------|
| <b>NON PRO</b>              |                                    |                                   |                        |
| 0 - 25                      | None                               | None                              | Non Working Mandatory  |
| 26 -149                     | Top 60%                            | None                              | 25% Min 10 Max 30      |
| 150+                        | Top 60% Max 120                    | Top 20% Composite Min 45 - Max 60 | Top 20                 |
| <b>INTERMEDIATE NON PRO</b> |                                    |                                   |                        |
| 0 - 25                      | None                               | None                              | Non Working Mandatory  |
| 26+                         | None                               | None                              | 25% Min 6 Max 20       |
| <b>LIMITED NON PRO</b>      |                                    |                                   |                        |
| 0 - 25                      | None                               | None                              | Non Working Mandatory  |
| 26+                         | None                               | None                              | 25% Min 6 Max 20       |

**1. Non Pro Top Tier Payouts**

- a. 0 - 25 entries - 100% of purse paid according to the NCHA mandatory payout.
- b. 26 - 149 entries - 100% of purse paid in finals.
- c. 150+ entries - 45% of purse paid to Semi-Final placings. 55% paid in the finals.

**2. Non Pro Intermediate & Limited Payouts**

- a. 0 - 25 entries - 100% of purse paid according to the NCHA mandatory payout.
- b. 26+ entries - 100% of purse paid in finals.

**ii. 5&6 Year Old Classic:**

| # of Entries                | ADVANCING TO<br>2 <sup>ND</sup> GO | ADVANCING TO<br>FINALS |
|-----------------------------|------------------------------------|------------------------|
| <b>NON PRO TOP TIER</b>     |                                    |                        |
| 0 - 25                      | None                               | Non Working Mandatory  |
| 26 -99                      | None                               | 25%                    |
| 100+                        | Top 60% Max 120                    | 20% Min 10 / Max 25    |
| <b>INTERMEDIATE NON PRO</b> |                                    |                        |
| 0 - 25                      | None                               | Non Working Mandatory  |
| 26+                         | None                               | 20% Min 6 / Max 25     |
| <b>LIMITED NON PRO</b>      |                                    |                        |
| 0 - 25                      | None                               | Non Working Mandatory  |
| 26+                         | None                               | 20% Min 6 / Max 25     |



**d. AMATEUR LEVELS**

**i. 4 Year Old Derby & 5/6 Year Old Classic**

| # of Entries                | ADVANCING TO SEMI-FINAL | ADVANCING TO FINALS |
|-----------------------------|-------------------------|---------------------|
| <b>AMATEUR</b>              |                         |                     |
| 0 - 149                     | None                    | 25% Min 10 / Max 30 |
| 150+                        | Top 30% Max 60          | Top 20              |
| <b>INTERMEDIATE AMATEUR</b> |                         |                     |
| 0 - 149                     | None                    | 25% Min 10 / Max 30 |
| 150+                        | Top 30% Max 60          | Top 20              |
| <b>LIMITED AMATEUR</b>      |                         |                     |
| 0 - 149                     | None                    | 25% Min 10 / Max 30 |
| 150+                        | Top 30% Max 60          | Top 20              |

**13. Selling of Slots / Entries**

a. OPEN

- i. Prior to the Draw - Open Division entries may not be sold prior to the draw.
- iii. After the draw - A horse entered in any Open Level may sell their draw position.
- iv. Buyer Fees:
  - 1. \$400 if completed prior to 5pm the night before the first go round.
  - 2. \$500 if completed after 5pm the night before the first go round and beginning for the first go round.
  - 3. Sales that are not complete (including a release from the current owner, new horse registration papers and rider name must be submitted to NCHA for the new entry and all fees paid) prior to the start of the first go round will NOT BE ALLOWED to compete.
- v. A horse that has had their draw position sold is eligible to show in other levels and divisions.

b. NON-PRO / AMATEUR

- i. Prior to the draw - A Non-Pro or Amateur rider's slot or named entry may be sold to another Non-pro or Amateur without additional fees.
- ii. After the draw - A Non-Pro or amateur may sell their slot (or named entry) after the draw but before the beginning of the first scheduled go-round. The buyer will be required to submit horse registration papers, a completed transfer with original horse papers, or a bill of sale showing proof of ownership of an unregistered horse.
- iii. Any Non-pro or amateur that sells a slot after the draw CANNOT repurchase another slot in that level. However, they may buy a horse from another non-pro or amateur which is entered in that level and show the purchased horse in the draw position assigned to that horse. The buyer must present horse registration papers which reflect the new owner OR a completed transfer with original horse papers to the office prior to the beginning of the first scheduled go-round.
- iv. Buyer Fees:
  - 1. \$0 if completed prior to the draw
  - 2. \$400 if completed prior to 5pm the night before the first go round.
  - 3. \$500 if completed after 5pm the night before the first go round and the beginning of the first go round.

4. Sales that are not complete (including a release from the current owner, new horse registration papers and rider name must be submitted to NCHA for the new entry and all fees paid) prior to the start of the first go round will NOT BE ALLOWED to compete.

#### **14. Naming of Non-Pro / Amateur Slots**

- a. Each Non-Pro or Amateur entry shall name the horse or horses to be ridden before the draw.
- b. If a Non Pro or Amateur rider does not name their horse or horses before the draw, the working order will be drawn in the name of the rider.
- c. Multiple Unnamed Slots - If a rider has more than one slot and names the horses after the draw:
  - i. All horses named - the horses shall work in alphabetical order.
  - ii. One horse named / one unnamed - the named horse(s) will work in the first assigned draw and the other draw will be left vacant.
- d. Late Naming Fees – Non-Pro / Amateur
  - i. Unnamed Horses may still be named for the following fees:
    1. \$400 if named after the Draw but before 5pm the evening prior to the start of the first go round.
    2. \$500 if named after 5pm on the evening prior to the start of the go round but before the beginning of the first go round.
    3. 2X the original entry fee (Jackpot + Office) if named after the beginning of the first go round.

#### **15. Double Entry & Transfer Privileges:**

- a. DOUBLE ENTRIES
  - i. HORSES paid up to date in any Open level on or before the June 4<sup>th</sup> payment may make an entry into another division level (Non Pro / Amateur) without incurring late entry penalties.
  - ii. Non-Pro SLOTS - paid up to date on or before the June 4<sup>th</sup> payment may make an entry into any Open division level without incurring late entry penalties PROVIDED you name the horse at the time of the double entry.
- b. TRANSFERS
  - i. HORSE Transfers – An entry made in a horse’s name may transfer into another division or level.
  - ii. SLOT Transfers:
    1. Non-Pro slots (entry made in a Non Pro rider’s name WITHOUT a horse name) may NOT transfer into an Open division level but MAY transfer into a lower Non Pro Level and any Amateur division level.
    2. Amateur slots (entry made in an Amateur’s name WITHOUT a horse name) may NOT transfer into any other division but may transfer into a lower Amateur level.
  - iii. NAMED Entry Transfers:
    1. Non-Pro level entries may transfer into an Open division level (provided the horse was named on the first payment entry form).

2. Amateur entries may NOT transfer into any other division but may transfer into a lower Amateur level.
- iv. FEES:
  1. Transfer into a different Division:
    - a. Before June 4- no fee.
    - b. After June 4 and before the draw - \$1,100 transfer fee.
  2. Transfer into a different Level in the same Division:
    - a. Before June 4 - no fee.
    - b. After June 4 and before the draw - \$250 transfer fee.

## 16. Substitutions

- a. Prior to the beginning of the division first go round horse owners in any Division / Level are allowed to substitute another horse owned by the same owner by:
  - i. Notifying the NCHA office
  - ii. Submitting the registration papers for the new horse.
  - iii. Paying \$500 substitution fee.
- b. No substitution will be allowed after the beginning of the division's first go-round.
- c. A horse that has had their draw position sold MAY NOT be substituted back into the original level.

**17. Change of Ownership:** An entry may change ownership and retain eligibility under the new owner provided the National Cutting Horse Association Limited Age Events Committee is advised and the new owner makes all payments when due.

**18. Declining Entries:** Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters. The National Cutting Horse Association reserve the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to subscribers.

## 19. Rules applicable to all entries

- a. Testing Provisions
  - i. All entries are bound by all rules within the NCHA Rulebook, including Standing Rule 35 A. A positive prohibited medication test will result in the loss of all titles, awards, premiums and will result in a fine of \$2,500-\$5,000 for a first offense and will result in an automatic 6 month suspension.
  - ii. By submitting your entry, you acknowledge that you are familiar with and subject to the provisions of the Medication and Drug Rules and Guidelines contained in the NCHA Rulebook. You also agree that, if requested, you will provide blood samples from your horse to comply with the random testing provisions contained in those Rules and Guidelines.
  - iii. Blood samples will be taken from top placing finalists in all Levels. Random testing will also be performed throughout all levels.

- b. The Draw
- i. The draw will not take place more than twenty-one (21) days prior to the beginning of the first schedule go-round.
  - ii. Only those horses & riders for which payment has been received in the NCHA office will be drawn
  - iii. If a horse properly entered is left out of the draw, a redraw will not be held. To establish a working order for this entry, one number will be pulled from a list based on the original draw numbers. The omitted entry will be inserted into the draw behind the number pulled.
- c. Ties: In the event of a tie for the championship in either division, a work off will not be held to determine the winner.
- i. If two ties, first and second place premiums will be added together and split fifty percent equally. (There will be co-champions)
    1. The winner of the tie breaker for awards will be determined by adding up all five scores from the finals.
    2. If still tied, the scores from the previous semi-finals and go-rounds will be added.
    3. If still tied, the winner will be determined via a coin toss with the riders/owners. The winning horse will select first from the pool of awards, with remaining prizes being selected in alternating fashion.
  - ii. If more than two ties, all premiums will be split equally. The work-off will be for prizes and the title.
- d. Horse Health Papers & Back Numbers
- i. All horses on-grounds will be required to have current health papers and a negative EIA (Coggins) test.
  - ii. Competition Horses will be required to wear a back number while in the Coliseum, Watt Arena, warm up areas and in the practice pen. Back numbers may be picked up from the show office following presentation of health papers.
  - iii. Non-Competition horses do not require back numbers but will need to be able to show proof of health papers at show office, stall office or in the practice pen.
- e. Release and Indemnification: By signing the entry form to participate in the 2021 NCHA Summer Spectacular (the "Event"), being held at The Will Rogers Memorial Center of Ft. Worth, TX (the "WRC"), the entrant acknowledges and agrees that there is inherent danger in participation in the Event due to potential exposure of the entrant and his/her horses to the Novel Coronavirus (the "Coronavirus") at the Event. By signing this entry form, the entrant acknowledges and agrees that:(i) prior to entering the Event, he/she was made aware of and understood the potential dangers presented by the Coronavirus at the Event; (ii) even though the NCHA and the WRC have taken all reasonable steps to present a safe environment for the Event, there are many factors beyond their reasonable control that could lead to potential exposure to the Coronavirus at the Event; and (iii) he/she is voluntarily assuming all risk of harm, illness or injury to themselves or any of their horses that may be incurred by participating in the Event, or activities associated with the Event, that are in any way related to the Coronavirus. The entrant further hereby releases the NCHA and the WRC and their respective officers, owners, employees, agents, representatives, directors, shareholders, officials, administrators, contractors, vendors, sponsoring agencies, sponsors, attorneys, and the owners and lessors of animals used to conduct the Event (hereinafter collectively referred to as "Releasees") from any and all

liability, claim, damages, injury, judgment, loss, liability, cost and expense (including, without limitation, attorney's fees and costs) incurred by the entrant or his/her horses allegedly arising out of or connected with his/her participation in the Event, or at any activities associated with the Event, that relate in any way to the Coronavirus. This Release includes, but is not limited to, claims for bodily injury and physical illness of the entrants and their horses in any way relating to the Coronavirus, that were allegedly incurred or sustained during the Event or at any activities associated with the Event.

## 20. Identification of Horses

### a. Registration Papers

- i. A photocopy of the registration (at the time of entry) certificate of a registered horse or required documents for an Unregistered horse\* (see note below) must be provided to the NCHA office on the dates outlined below:
  1. Horses entered / named prior to June 4 – Documentation must be received by June 4 or a \$100 fee will be assessed.
  2. Horses entered / named after June 4 – Registration papers must be furnished upon entry. Failure to comply will cause all fees to be forfeited and entry disqualified.
- ii. **\*Unregistered horse:** Unregistered horse application (this can be found on [nchacutting.com](http://nchacutting.com)) needs to be accompanied by a bill of sale, a vet statement attesting to the horse's age, and photos of the horse front & back & both sides- this needs to be sent into the NCHA office no later than June 4, 2021.

### b. Age Verification

- i. A horse is not eligible to participate if its age, as determined by examination of its teeth, exceeds the age shown on its registration certificate on registered horses or the notarized statement of age and description required for unregistered horses. Such determination by dentition examination to be made in accordance with *The Official Guide for Determining the Age of the Horse* as currently published by the American Association of Equine Practitioners. However, if the horse has received a dental examination by the NCHA at the Futurity and received a favorable result, that horse will not be retested for age at this show.
- ii. **Examination Procedures:** Each horse will be examined by a licensed equine veterinarian appointed by the NCHA, in its sole discretion, to inspect the horse's teeth for age qualification. The guidelines used for this dental examination will be those set forth by the AAEP (American Association of Equine Practitioners) in effect at that time.
  1. If the dental examination reveals that the horse's age appears to exceed that of the AAEP qualifying age guidelines, the NCHA veterinarian will immediately notify the Director of Shows that there is a potential issue concerning the age of the horse.
  2. Upon such notification, the NCHA Director of Shows will immediately contact the owner or the trainer of the horse in question prior to initiating any further testing procedures on the horse.
  3. By entering a horse, the owner agrees that both the owner or trainer of the horse are fully authorized, individually, to consent to or decline to consent to the additional testing procedures on the horse. These additional testing procedures are outlined below.

- a. These additional testing procedures may include, but are not limited to, radiographs, photos, and videos of the horse's mouth, including molars.
  - b. Further, these additional testing procedures may include sedation of the horse in question in order to perform the tests.
4. The owner or trainer of the horse has the option of either immediately withdrawing the horse from any further competition or allowing the horse to immediately undergo the additional testing described herein.
5. If such additional testing procedures are consented to and performed, they will be done at the owner's sole expense.
6. If the owner or trainer consents to allow the horse to undergo such additional testing procedures but fails to immediately make the horse available for such additional testing procedures, the horse will be disqualified from further competition in the event.
7. The records from those additional testing procedures, if any, will be submitted to a veterinary expert on horse teeth, determined by the NCHA in its sole discretion, whose credentials include that of being a Diplomat of American Veterinary Dental College, certified in Equine, for a second opinion as to the horse's age.
8. All costs associated with that expert's examination of the horse will be at the owner's sole expense.
9. This process must be completed, and a favorable opinion rendered as to the age of the horse for the horse to continue to compete. Should there be an unfavorable opinion rendered as to the age of the horse, the horse will be immediately disqualified from competing in any class.
10. By entering a horse, the owner and trainer agree that the opinion of that expert as to the horse's age will be final and binding for all future NCHA limited age events.
11. Should a horse be disqualified after the above process, all fees shall be forfeited for that horse, and the horse will be disqualified from further competition. However, if that horse is listed in a Non-Pro or Amateur entry, the contestant will have the opportunity to substitute a horse or sell their slot according to NCHA rules.

## 21. Judges & Judging

- a. Separate judges will be used to officiate the Open & Non-Pro divisions.
- b. NCHA Judging Rules set forth in the Handbook will apply
- c. The NCHA Adjusted Monitor System, and all rules and policies set forth by that system will be used.
- d. Each horse is allotted two and one-half (2 ½) minutes to work during a run. The working time starts when the rider crosses the official timeline.
  - i. As soon as the horse, owner and rider's name are called to the herd by the announcer (the "rider announcement"), the announcer will start the 1-2 ½ minute clock to let the rider know how much time the rider must cross the official timeline and begin the rider's run.
  - ii. If the rider has helped the contestant immediately preceding the rider's run or has drawn back to back un the working order, the rider will have 2 ½ minutes from the rider announcement to cross the official timeline and start their run.
  - iii. If the rider has not helped the contestant immediately preceding the rider's run or has not drawn back to back in the working order, the rider will have 1 minute from the time of the rider announcement to cross the official timeline and start their run.
  - iv. If the rider fails to cross the official timeline in the time allotted under the 1-2 ½ minute clock, a buzzer and on additional minute will be added to the 1- 2 ½ minute clock. (this is the only warning that the rider will receive relating to the 1- 2 ½ minute clock)
  - v. If the rider receives any additional buzzers relating to the 1-2 ½ minute clock at any time during that show, it will result in a \$500 fine per occurrence. (i.e.- second buzzer is \$500 fine, third buzzer is an additional \$500 fine, etc.)
  - vi. All fines assessed under this rule must be paid prior to the rider's exhibiting a horse in any future NCHA produced show.
  - vii. All NCHA produced events will use the 1-2 ½ minute clock as described above in each class, including the finals.
- e. The judges for the 2021 NCHA Summer Show will be located further from the Coliseum. To facilitate this change, the judges will return to the Coliseum after 25 minutes of settling.
- f. These Rules are subject to change by action of the Executive Committee only.