

2025 NCHA World Championship Futurity

In cooperation with the City of Fort Worth and Visit Fort Worth
Terms and Conditions – Initial Publication 10/1/2024

1. This event is hosted by the National Cutting Horse Association to be held in Fort Worth, TX at the Will Rogers Memorial Center. The NCHA reserves the right to limit/decline entries for any reason. (See Rule 18 in this document).
2. Membership: All owners named on horse papers and riders of entered horses must be members in good standing of the National Cutting Horse Association. Owner memberships can be either individuals or partnerships/businesses.
3. Horse Age: The Futurity is for three (3) year old horses (foals of 2022), all breeds.
4. Horse Limits / Disqualifications
 - a. Riders may ride a maximum of two (2) horses of any sex in each Futurity Division.
 - b. Disqualifications
 - i. Any violation of this rule will cause the rider to be disqualified from the contest, with possible additional disciplinary action.
 1. Open: Any horse(s) **over the allowed two** will be disqualified. The disqualified entry or entries will be determined by the latter placement in the draw.
 2. Non-Pro & Amateur levels: **ALL** entries will be disqualified in that level or subclass.
 - c. **Horses participating in the NCHA Futurity must not have been shown in any prior events. Furthermore, horses are prohibited from being worked on cattle in the Will Rogers Coliseum prior to the Futurity.**
5. Divisions & Levels Offered
 - a. The NCHA Futurity will be held in three Divisions:
 - i. **Open** – Entry is made by horse. Owners may enter an unlimited number of horses in the Open Division. Once named, horse limits (2) are imposed on the rider.
 - i. **Non-Pro** – Entry shall be made by rider. Horse limits (2) are imposed on the rider.
 - ii. **Amateur** – Entry shall be made by rider. Horse limits (2) are imposed on the rider.
 - b. A horse may be entered in all three Divisions – but only once per level.
 - c. Within all divisions, there are additional levels based on RIDER eligibility:
 - i. Open

1. Top Tier Open
 2. Intermediate Open
 3. Limited Open
- ii. Non-Pro
 1. Top Tier Non-Pro
 2. Intermediate Non-Pro
 3. Limited Non-Pro
 - iii. Amateur
 1. Top Tier Amateur
 2. Intermediate Amateur
 3. Limited Amateur
- d. Entering Multiple Levels within a Division
- i. Open – riders will automatically be entered into the division(s) for which they are eligible.
 - ii. Non-Pro riders will automatically be entered into the division(s) for which they are eligible.
 - iii. AMATEUR – Riders have two choices when entering more than one level.
 1. Entering Two Levels
 - a. Option 1 = **Separate Entries**. Entry can be made into two separate levels. The rider will ride twice into the herd. Example, Rider A enters the Amateur and the Limited Amateur separately. They receive 2 draws within the first go round and 2 separate scores. The horse will not be drawn twice in the same set.
 - b. Option 2 = **Combo Entry**. Entry can be made into a combo for the 2 levels where the rider receives only 1 draw within the first go and only 1 score for both levels. Example, Rider B enters the Amateur / Limited Amateur Combo. They receive 1 draw within the first go round and that 1 score counts for both levels.
 2. Entering Three Levels
 - a. Option 1 = **1 Separate Plus 2 Combo**. A horse cannot show more than twice in the same division. Entry can be made into one level separately and the other two as a combo. Example, Rider C enters the Intermediate Amateur separately and the Amateur / Limited Amateur Combo. They receive 2 goes in the division go round. The score for the Intermediate Amateur stands alone and the score for the Amateur / Limited Amateur Combo counts for both the Amateur and the Limited Amateur.
 - b. Option 2 = **All 3 as a Combo**. A rider only wants to ride to the herd 1 time but wants to enter all 3 levels. They enter the Amateur –

Intermediate Amateur – Limited Amateur combo. Example, Rider D enter the triple level combo. They will receive only 1 draw for the division and that 1 score will count for all 3 levels.

e. Subclasses

- i. Within all Divisions / Levels, there are additional subclasses based on additional criteria. See the Subclass section below.

6. Level Eligibility

- a. Time Frame - Eligibility for each of the 3 levels are determined by the value of the Rider’s Lifetime Earnings as of December 28th of the previous year. Lifetime Earnings include both all money won that has been reported to NCHA.

b. Level Earning Limits:

| Division | Rider Lifetime Total Earnings |
|----------------------|-------------------------------|
| Open | \$750,000 or more |
| Intermediate Open | \$200,001 - \$749,999**+ |
| Limited Open | \$0 - \$200,000 |
| | |
| Non-Pro | \$500,000 or more |
| Intermediate Non-Pro | \$150,001 - \$499,999**+ |
| Limited Non-Pro | \$0 - \$150,000 |
| | |
| Amateur | \$100,000 or more |
| Intermediate Amateur | \$25,001 - \$99,999**+ |
| Limited Amateur | \$0 - \$25,000 |

c. Intermediate Roll Back

- i. *Top 100 list - Riders are placed on the Top 100 rider list(s) based on earnings in the respective division (Open, Non-Pro, Amateur) during the previous two (2) point years. The Open List reflects Open earnings (including Novice Horse classes), the Non-Pro list reflects Non-Pro earnings (excluding the Championship 25,000 and 5,000 Novice Horse Non-Pro classes), and the Amateur list reflects Amateur earnings.
 1. Open or Non-Pro riders NOT on any of the Top 100 money earning rider lists for the previous two-point years in any division, may enter the Intermediate Level in their respective division(s).
 2. Amateur riders

- a. **Aged 60 - 69** who are NOT on any of the Top 100 money earning rider lists for the previous two-point years in any division MAY enter Intermediate Amateur regardless of Limited Age Non-Pro earnings.
 - b. **Under the age of 60** who are NOT on any of the Top 100 money earning rider lists for the previous two-point year in any division AND are NOT in the Non-Pro Hall of fame may fall back into Intermediate Amateur.
 - ii. *Intermediate Roll Back – Senior
 - 1. Open and Non-Pro riders aged 70 and older as of date the level begins can enter the Intermediate Level in all divisions regardless of earnings or rider rankings.
 - 2. Amateur Competitors 70 and older as of the day the 1st go of the Amateur begins may enter the Top Tier Amateur or the Intermediate Amateur regardless of earnings or Hall of Fame status.
- d. Limited Roll Back - There is no exception that allows a rider to return to the Limited Level.
- e. Amateur Earnings Cap (\$250,000 in Non-Pro LAE earnings)
 - i. Amateur competitors under the age of 60 remain subject to Standing Rule 51.a.6 - the \$250,000 LAE Non-Pro Cap earnings cap. If the Non-Pro LAE earnings eligibility limit is surpassed during the show, the exhibitor can complete the show in that specific level or division.
- f. Non-Pro Earnings Cap (\$250,000 in Open LAE earnings)
 - i. Non-Pro competitors remain subject to Standing Rule 50.d.6. If the Open LAE earnings eligibility limit is surpassed during the show, the exhibitor can complete the show in that specific level or division.
- g. Ineligibility
 - i. Prior to the draw - all efforts will be made to identify ineligible entries prior to the draw. If a rider has attempted to compete in these classes and the horse or rider is found to be ineligible, disciplinary action may be taken.
 - ii. In all cases, including rider changes after the draw, the burden of proof of eligibility is on the rider.
 - iii. In the case where a rider competes in a level for which he/she is not eligible, they will be disqualified, no premium monies paid, and no refunds will be issued.

7. Entry Options / Slots

- a. Open Division:
 - i. Entry must be made in the name of the Horse. This is NOT a Slot. Open entries may NOT be sold.
- b. Non-Pro Division:

- i. **Named Entry:** You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. **Slot:** You may enter under your name (slot) or the name of an Immediate Family Member as referenced in Standing Rule 51.a.4.
- c. Amateur Division Levels:
 - i. **Named Entry:** You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. **Slot:** You may enter under your name (slot) or the name of an Immediate Family Member as referenced in Standing Rule 51.a.4.
- d. Sub Classes:
 - i. Rules For all:
 1. Subclass entries must have a corresponding entry in the same Division / Level pairing.
 2. In the event there are less than three (3) entries at the start of the go round for any subclass, class will not be held, and entries will be refunded.
 3. Subclass entries may not be sold independently from the Division / Level pairing.
 4. There are no finals. Payout will be made according to the NCHA payout, to the highest advancing horses based on the NCHA mandatory payout scale.
 - ii. Senior Subclass:
 1. Available for entry in all Divisions / Levels
 2. Riders must be 60 years of age or older at the time of the first day of the class go-round and date of birth is required at entry.
 3. Intermediate Open Senior, Limited Open Senior / Intermediate Non-Pro Senior, Limited Non-Pro Senior must be entered separately. ENTRY IS NOT AUTOMATIC.
 - iii. Gelding Subclass:
 1. Available for entry in all Division / Levels
 2. Proof of gelding status must accompany entry. Please submit notification of a gelding update to the NCHA office.
 3. Intermediate Open Gelding, Limited Open Gelding / Intermediate Non-Pro Gelding, Limited Non-Pro Gelding must be entered separately. ENTRY IS NOT AUTOMATIC.
 - iv. Chrome Cash Subclass:
 1. Available for entry in all Division / Levels
 2. Proof of American Paint Horse Registration must accompany entry.

3. Intermediate Open Chrome Cash, Limited Open Chrome Cash / Intermediate Non-Pro Chrome Cash, Limited Non-Pro Chrome Cash must be entered separately. ENTRY IS NOT AUTOMATIC.

8. Entry Fees and Payment Schedules

OPEN

| DEADLINE AND AMOUNT DUE: | | PAYMENT BREAKDOWN | | |
|--|-----------------|----------------------|-----------|---------------------------------------|
| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
| Paid in Full Discount: See below for Fees | \$3,754* | | | |
| #1 – On/Before Nov 1 st | \$200 | \$200 | | \$400 |
| #2 – On/Before Jan 3 rd | \$500 | \$500 | | \$1,000 |
| #3 – On/Before Aug 15 th | \$1,100 | \$1,100 | | \$2,200 |
| #4 – On/Before Oct 1 st | \$2,360* | \$940 | \$1,320 | \$4,520 |
| Total | \$4,160* | \$2,740 | | |

* Open includes optional \$100 PAC Fee

Paid in Full Discount without penalties

Non Futurity Sale Horses – Prior to November 1st = \$3,754*

Futurity Sale Horses – paid at the sale = \$3,754*

Paid in Full Discount with penalties

On Or Before August 15th = \$3,754* PLUS Late penalties for all missed payments

NON-PRO

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|--|-----------------|----------------------|-----------|---------------------------------------|
| Paid in Full Discount: See below for Fees | \$3,288* | | | |
| #1 – On/Before Nov 1 st | \$200 | \$200 | | \$400 |
| #2 – On/Before Jan 3 rd | \$500 | \$500 | | \$1,000 |
| #3 – On/Before Aug 15 th | \$900 | \$900 | | \$1,800 |
| #4 – On/Before Oct 1 st | \$2,045* | \$735 | \$1,235 | \$3,940 |
| Total | \$3,645* | \$2,335 | | |

* Non-Pro includes optional \$75 PAC Fee

Paid in Full Discount **without** penalties

Non Sale Horses – Prior to November 1st = \$3,288*

Futurity Sale Horses – paid at the sale = \$3,288*

Paid in Full Discount **with** penalties

On Or Before August 15th = \$3,468* PLUS Late penalties for all missed payments

AMATEUR (Stand-Alone)

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$800 | \$800 | | \$1,200.00 |
| #2 – On/Before Oct 1 st | \$1,570* | \$625 | \$925 | \$2,325.00 |
| Total | \$2,370* | \$1,425 | | |

* Amateur includes optional \$20 PAC Fee.

INTERMEDIATE AMATEUR (Stand-Alone)

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$700 | \$700 | | \$1,050.00 |
| #2 – On/Before Oct 1 st | \$1,465* | \$520 | \$925 | \$2,167.50 |
| Total | \$2,165* | \$1,220 | | |

* Intermediate Amateur includes optional \$20 PAC Fee

LIMITED AMATEUR (Stand-Alone)

DEADLINE AND AOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$650 | \$650 | | \$975.00 |
| #2 – On/Before Oct 1 st | \$1,315* | \$370 | \$925 | \$1,942.50 |
| Total | \$1,965* | \$1,020 | | |

* Limited Amateur includes optional \$20 PAC Fee

COMBO: AMATEUR + INTERMEDIATE AMATEUR + LIMITED AMATEUR

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$2,150 | \$2,150 | | \$3,225.00 |
| #2 – On/Before Oct 1 st | \$2,460* | \$1,515 | \$925 | \$3,660.00 |
| Total | \$4,610* | \$3,665 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + INTERMEDIATE AMATEUR

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$1,500 | \$1,500 | | \$2,250.00 |
| #2 – On/Before Oct 1 st | \$2,090* | \$1,145 | \$925 | \$3,105.00 |
| Total | \$3,590* | \$2,645 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + LIMITED AMATEUR

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$1,450 | \$1,450 | | \$2,175.00 |
| #2 – On/Before Oct 1 st | \$1,940* | \$995 | \$925 | \$2,880.00 |
| Total | \$3,390* | \$2,445 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: INTERMEDIATE AMATEUR + LIMITED AMATEUR

DEADLINE AND AMOUNT DUE:

PAYMENT BREAKDOWN

| | TOTAL | Jackpot ⁺ | Show Fees | Payment & Penalty (after deadline) |
|-------------------------------------|-----------------|----------------------|-----------|---------------------------------------|
| #1 – On/Before Aug 15 th | \$1,350 | \$1,350 | | \$2,025.00 |
| #2 – On/Before Oct 1 st | \$1,835* | \$890 | \$925 | \$2,722.50 |
| Total | \$3,185* | \$2,240 | | |

* This Combo includes optional \$20 PAC Fee.

***PAC Fees:** Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown Events. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class.

SUBCLASSES – are offered with all Divisions and Levels. Intermediate Open, Limited Open, Intermediate Non-Pro, and Limited Non-Pro subclasses are NOT AUTO ENTRY and must be entered separately.

Subclasses must be entered by 3pm the day prior to the start of the respective Division. Entries after that deadline require \$250 penalty.

Senior

| DEADLINE AND AMOUNT DUE: | Total | Jackpot ⁺ | Show Fees | Penalty |
|--|--------------|----------------------|-----------|---------|
| 3pm the day before the first horse in the respective Go Round works. | \$600 | \$500 | \$100 | \$250 |

Gelding

| DEADLINE AND AMOUNT DUE: | Total | Jackpot ⁺ | Show Fees | Penalty |
|--|--------------|----------------------|-----------|---------|
| 3pm the day before the first horse in the respective Go Round works. | \$600 | \$500 | \$100 | \$250 |

Chrome Cash

| DEADLINE AND AMOUNT DUE: | Total | Jackpot ⁺ | Show Fees | Penalty |
|--|--------------|----------------------|-----------|---------|
| 3pm the day before the first horse in the respective Go Round works. | \$600 | \$500 | \$100 | \$250 |

⁺A one percent (1%) deduction will be made from every jackpot for the NCHA special Events Fund.

9. Entry Deadlines / Penalties / Late Entries

a. Mailed Entries / Payments

i. Entries must be postmarked ON or before the due date.

b. Online Entries / Payments

i. Submissions must be received prior to 11:59 pm Central time ON the due date.

c. Penalties apply the day following the deadline on all payments.

d. Due dates for all payments are listed in section 8. Entry fee breakout (Jackpot, Show Fees, PAC are listed in section 8 above).

e. Open & Non-Pro Division

| Date | Late Payment Calculation |
|--------------------------------------|---|
| Through October 1 | Add missed payment(s) together and multiply by 2. ADD any remaining payments. |
| October 2 – 1 st Go Round | Add all missed payment(s) together and multiply by 2. |

f. Amateur Stand-Alone Divisions (or Combos not made by adding to an EXISTING entry)

| Date | Late Payment Calculation |
|---------------------------------------|---|
| Through October 1 | Payment PLUS 50% of first payment due (Jackpot) |
| October 2 – Draw | Payment PLUS 50% of Jackpot + Show Fees payment(s) due |
| After draw – 1 st Go Round | Add missed Jackpot + Show Fees payment(s) together and multiply by 2. |

g. ADDING an Amateur Combos to EXISTING Amateur Entry

| Date | Late Payment Calculation |
|---------------------------------------|---|
| Through Draw | No penalty |
| After draw – 1 st Go Round | Payment PLUS 50% of Jackpot portion of entry fee. |

h. Subclasses:

| Date | Late Payment Calculation |
|--|--------------------------|
| Through Draw | No penalty |
| After draw – 3pm day before the respective division's 1 st Go Round | No penalty |
| After 3pm day before Go Round and prior to first horse working | \$250 penalty. |

i. **Non-Payment:** Issuance of a check that is not honored for any reason or a credit card payment that is declined for any reason to cover an entry fee shall constitute no

payment. Declined / reversed ACH payments are considered checks and will be treated as such. Entries that are deemed Non-Payment will have Penalties assessed according to the dates and schedules listed above.

- j. **Failure to Pay:** All payments must be made payable to the National Cutting Horse Association in United States funds or its equivalent and received in the office by the due date. All fees, including late penalties, must be paid on or before the draw or entry will be cancelled without refund.

10. Naming of Non-Pro / Amateur Slots

- a. Each Non-Pro or Amateur entry shall name the horse or horses to be ridden before the draw.
- b. If a Non-Pro or Amateur rider does not name their horse or horses before the draw, the working order will be drawn in the name of the rider.
- c. Multiple Unnamed Slots – If a rider has more than one slot and names the horses after the draw:
 - i. All horses named – the horses shall work in alphabetical order.
 - ii. One horse named / One unnamed – the named horse will work in the first assigned draw and the other draw will be left vacant.
- d. Late Naming Fees
 - i. Unnamed Horses may still be named for the following fees:
 1. \$500 if named after the draw but before 3pm the evening before the start of the first go round.
 2. \$750 if named after 3pm on the evening prior to the start of go round but before the beginning of the first go round.
 3. 2x the original entry fee (Jackpot + Show Fees) if named after the beginning of the first go round.

11. Selling of Non-Pro / Amateur Slots

- a. A Non-Pro or Amateur rider's slot or named entry may be sold to another Non-Pro or Amateur:
 - i. Prior to the draw
 1. \$0 - No additional fees
 - ii. After the draw and by 3pm the day before the beginning of the first scheduled division go-round
 1. \$400 (\$200 jackpot, \$200 show fee)
 - iii. After 3pm the day before the beginning of the first scheduled division go-round but before the first horse works
 1. \$500 (\$250 jackpot, \$250 show fee)

- iv. After the first horse works in the go-round
 - 1. Slots and named entries may not be sold.
- b. A Sale is NOT considered complete until the NCHA receives the following:
 - i. A release from the current owner
 - ii. Signed entry form or change request form for the new entry
 - iii. Ownership verification
 - 1. New horse's registration papers (if not previously received) or
 - 2. Completed transfer with original horse papers or
 - 3. Bill of sale showing proof of ownership for unregistered horse
 - iv. Rider name
 - v. Payment for all fees.
- c. Sales that are not complete prior to the start of the first go round will NOT BE ALLOWED to compete.
- d. **Additional Stipulations - Post Draw Sales (11.a.ii and 11.a.iii)**
 - i. Any Non-Pro or Amateur that sells a slot after the draw CANNOT repurchase another slot in that level.
 - ii. They may buy a horse from another Non-Pro or Amateur which is entered in that level and show the purchased horse in the draw position assigned to that horse. Proof of ownership is required:
 - 1. New horse's registration papers (if not previously received) or
 - 2. Completed transfer with original horse papers or
 - 3. Bill of sale showing proof of ownership for unregistered horse
- e. **Additional Stipulations for NAMED ENTRIES**
 - i. When a rider sells their named ENTRY, the named horse cannot be re-entered into the same Division / Level.
- f. **Additional Stipulations for Amateur Combos**
 - i. Refer to 4.d.iii in this document for entry options / limitations (2 goes max)
 - ii. Before October 1st – Amateur entries may transfer in and out of combos and stand-alone entries for no fees – however, no paid entry fees will be refunded if a lower entry fee is required for the new level(s) entered.
 - iii. After October 1st and before the Draw – Amateur entries may transfer in and out of combos and stand-alone entries by payment of \$100 and the appropriate entry fees. However, no paid entry fees will be refunded if a lower entry fee is required for the new level(s) entered.
 - iv. After the Draw
 - 1. Riders may ADD a combo to an existing stand-alone class entry; but may NOT change the level of a stand-alone class once drawn.
 - 2. Riders may NOT remove a combo from an entry that was drawn as a combo. All levels of a drawn combo must remain on the entry.

3. Riders may not change levels of combos that are drawn (i.e. change Top Tier/Limited combo to Intermediate/Limited combo).
 4. If / when a combo slot is sold, ALL Levels in the combo move with the sale.
 5. Buyers may not split a purchased combo.
 6. If the buyer is already entered in one of the levels of the purchased combo, the duplicate level is withdrawn.
 7. Buyers may not move a purchased combo to an existing entry.
- g. Subclasses and Slot Sales – Seller Options
- i. Sell the slot with all the subclasses
 - ii. Move ALL purchased subclasses to another entry for the same rider and then sell the slot without subclasses
 - iii. Move SOME of the purchased subclasses to another entry for the same rider and then sell the remaining subclasses with the slot.
 - iv. SUBCLASSES MAY NOT BE SOLD INDEPENDENT OF THE MAIN ENTRY and may not be moved to entries not owned by the seller.
- h. Subclasses and Slot Purchases – Buyer Options
- i. When purchasing a slot that has entered subclasses, the options are:
 1. Keep subclasses for eligible entries
 2. Move paid entry to different eligible entry for same rider
 3. Withdraw the entry if ineligible

12. Change of Ownership / Sale of Named horse or Open Entry

- a. An entry may change ownership and retain eligibility under the new owner, provided the new owner keeps up all payments when due.
- b. Open Division – the entry goes with the horse. The owner does not retain the entry.
- c. Non-Pro or Amateur – when the horse of a named entry is sold, the new owner can either:
 - i. Change the rider to the new owner or immediate family member and show in the original draw position for the horse or
 - ii. Purchase a slot and show in that slot's draw position. If the new owner chooses this option, the original owner of the horse can either:
 1. Sell the slot (the entry became a slot with the removal of the horse)
 2. Name a new horse for that draw position.

13. Substitutions (Named Horses):

- a. Open Division
 - i. Prior to the beginning of the division first go round, horse owners are allowed to substitute another horse owned by the same owner by:
 1. Submitting registration papers for the new horse.

2. Paying a Substitution fee:
 - a. Before Final Payment = Paying one-half (1/2) again as much as has been paid on original entry (Jackpot + Show Fees) with a maximum of \$250.
 - b. After Final Payment = amount equal to entry fee (Jackpot + Show Fees).
- ii. No substitution will be allowed after the beginning of the division's first go-round.
- b. Non-Pro / Amateur
 - i. Prior to the beginning of the division first go round horse owners in a Non-Pro or Amateur Division / Level are allowed to substitute another horse owned by the same owner or by an immediate family member as referenced in Standing Rule 51.a.4 by:
 1. Notifying the NCHA office in writing.
 2. Submitting the registration papers for the new horse.
 3. Paying one-half (1/2) again as much as has been paid on original entry (Jackpot + Show Fees) with a maximum of \$250.
 - ii. No substitution will be allowed after the beginning of the division's first go-round.
 - iii. Reminder - a horse that has had their draw position sold CANNOT re-enter the original level.

14. Double Entry & Transfer Privileges

- a. DOUBLE ENTRIES
 - i. Any Open entry (horse) or Non-Pro NAMED ENTRY that is paid up to date or before the August 15th payment may enter the other division without late penalties.
 - ii. A Non-Pro SLOT that is paid up to date on or before August 15th payment may make an Open entry without incurring late entry penalties PROVIDED the horse is NAMED at the time of the Open entry.
 - iii. If a horse has been entered in both the Open and the Non-Pro (as a named entry) by making one or more payments in each division, it is considered an entry. Payments cannot be dropped in one division and later considered for double entry back into that division. In this case, late fees apply for the other division.
- b. TRANSFERS
 - i. NON-PRO SLOTS may not be transferred to the Open division.
 - ii. NON-PRO NAMED ENTRIES – may transfer to the Open division only if their horse was named on the first payment entry form.
 1. **Owners who transfer a horse from the Non-Pro to the Open are not allowed to repurchase a Non-Pro slot at a later date for the same horse by the same owner.

- iii. Open to Non-Pro Named Entry or Amateur Named Entry
 - 1. On or before August 15th there is no fee to transfer
 - 2. After August 15th until October 1 by payment of \$100 transfer fee
 - 3. After the final payment but before the draw by payment of \$1,100 transfer fee.
- iv. Non-Pro Named Entry to Amateur Named Entry
 - 1. On or before August 15th there is no fee to transfer
 - 2. After August 15th until October 1 by payment of \$100 transfer fee
 - 3. After the final payment but before the draw by payment of \$1,100 transfer fee.
- v. Amateur entries may transfer only within levels of the Amateur division. They may not move to the Non-Pro or the Open.
 - 1. After October 1 by payment of \$100 transfer fee
 - 2. After the draw - No transfer between levels is allowed

15. Refunds

- a. Entry Fees may be refunded due to the death of the entered rider or owner; 30 days or less before the 1st day of the event. Requests for refunds shall be accepted from the estate executor/executrix and refunds will be made payable to the estate of the rider/owner as determined appropriate by Probate Court. Any refund request shall be accepted until 48 hours after an event completed to file a request for a refund.
- b. Subclasses that are not held when minimum entry requirement is not met will be refunded to the original payer.
- c. In the event of overpayment of entry fees due to miscalculation of fees, the overpayment will be refunded.
- d. There will be no refunds for any other reason.

16. Advancement Criteria & Payout Calculations

- a. Stipulations applying to all Divisions and Levels:
 - i. LATE entries (after the draw)
 - 1. Will not be included when calculating the advancing number of entries to the second go, semifinals, or finals.
 - 2. Will be required to work last in the herd. Additional cattle will not be added for late entries.
 - ii. Only scores of 181 or higher will advance further in competition.
 - iii. All other horses will be dropped from further competition.
 - iv. When finals are held, scores made in the go rounds and semifinals will be disregarded. ONLY scores made in the finals will be used to determine final placings.

v. All advancing counts will take ties.

b. Open Division – Based on Entry counts at time of draw[^]:

| # of Entries | ADVANCING TO 2 ND GO | ADVANCING TO SEMIFINALS | ADVANCING TO FINALS |
|--------------------------------------|------------------------------------|-----------------------------------|------------------------|
| OPEN | | | |
| 0 - 99 | Top 60% Max 240 | None | Top 20 |
| 100 - 600 | Top 60% Max 240 | Top 20% Composite Min 45 - Max 60 | Top 20 |
| 601+ | Top 60% Max 240 | Top 20% Composite Min 45 - Max 75 | Top 20 |
| INTERMEDIATE OPEN[^] | | | |
| 0 – 24 | Open Advancing* | | Non Working Mandatory |
| 25+ | Open Advancing* | | 20% Min 6 Max 25 |
| LIMITED OPEN[^] | | | |
| 0 – 24 | Open Advancing* | | Non Working Mandatory |
| 25+ | Open Advancing* | | 20% Min 6 Max 25 |

* Intermediate Open and Limited Open riders that advance in the Open will run concurrently in the Open 2nd Go. Intermediate Open & Limited Open finals will be based on the highest advancing scores.

[^]Advancing counts for the Open will be determined at the draw. Intermediate Open and Limited Open will be determined at the **start of the first go**. Rider changes after the draw will be automatically entered in the Intermediate and/or Limited Open (depending on eligibility) and **will** affect advancing counts until the start of the first go.

1. Open Payouts (All Levels) - TOTAL PURSE will be split by a percentage between the 3 levels.

c. Non-Pro Division – Based on Entry counts at time of draw[^]:

| # of Entries | ADVANCING TO 2 ND GO | ADVANCING TO SEMIFINALS | ADVANCING TO FINALS |
|---|------------------------------------|-----------------------------------|------------------------|
| NON-PRO | | | |
| 0 – 99 | Top 60% | None | Top 20 |
| 100+ | Top 60% Max 240 | Top 20% Composite Min 45 – Max 60 | Top 20 |
| INTERMEDIATE NON-PRO[^] | | | |
| 0 – 24 | Non-Pro Advancing* | | Non Working Mandatory |
| 25+ | Non-Pro Advancing* | | 20% Min 6 Max 25 |
| LIMITED NON-PRO[^] | | | |
| 0 – 24 | Non-Pro Advancing* | | Non Working Mandatory |
| 25+ | Non-Pro Advancing* | | 20% Min 6 Max 25 |

* Intermediate Non-Pro and Limited Non-Pro riders that advance in the Non-Pro will run concurrently in the Non-Pro 2nd Go. Intermediate Non-Pro and Limited Non-Pro finals will be based on the highest advancing scores.

^Advancing counts for the Non-Pro will be calculated at time of draw. Intermediate Non-Pro and Limited Non-Pro will be determined at the **start of the first go**. Unnamed Slots and rider changes after the draw will be automatically entered in the Intermediate Non-Pro and/or the Limited Non-Pro (depending on eligibility) and **will** affect the Intermediate Non-Pro and Limited Non-Pro advancing counts until the start of the first go.

- i. Non-Pro Payouts (All Levels) - TOTAL PURSE will be split by a percentage between the 3 levels.

d. Amateur Division – Based on Entry counts at time of draw:

| # of Entries | ADVANCING TO SEMIFINALS ⁺ | ADVANCING TO FINALS |
|-----------------------------|--------------------------------------|-----------------------------------|
| AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |
| INTERMEDIATE AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |
| LIMITED AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |

⁺There will be payouts to all semifinalists.

17. Purse Payouts

- a. Unless specified otherwise in writing (email or mail), payout checks in all classes at NCHA produced events will be made based on division:
 - i. Open Levels and subclasses to the owner on record at the time of the event.
 - ii. Non-Pro and Amateur Levels and subclasses to the rider.
- b. All finalists will receive a payout.

18. Declining Entries: Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters.

19. Cancellation: The National Cutting Horse Association reserves the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to individual / entity that made the original payment(s).

20. Rules applicable to all entries

a. Medication Rules and Testing Provisions

- i. All entries are bound by all rules within the NCHA Rulebook, including Standing Rule 35A.

ii. **Standing Rule 35A.5.a Selection / 35A.6 Testing Policy**

1. Horses competing in all divisions / classes will be drug tested during the event as follows:

- a. One-Set Finals: The unofficial scores of the three highest scoring horses will be tested immediately following those finals.
- b. Multiple-Set Finals: The unofficial scores of the top two highest scoring horses (including ties) in each set will be tested immediately following their respective sets.
- c. Random Testing: Show management will predetermine days and sets in which additional random drug testing will be done in go rounds and semi-finals prior to their respective draws. Slots within those pre-determined sets that will be tested will be randomly selected from within those sets prior to their respective draws.

- iii. **Holding Payout Checks:** The payout checks for the first and second place (plus ties) horses will be held until cleared for prohibited medication by testing lab, which could result in delay of payment.

iv. **Standing Rule 35A.14.e Disciplinary Guidelines for use of a Prohibited Substance**

The following disciplinary actions may be considered by the NCHA, NCHA Medication Review Committee or Executive Committee in addressing a violation of the Medication Rules and Guidelines relating to the use of a prohibited substance by any responsible person and defined by these rules. The following are general guidelines only. The NCHA, NCHA Medication Review Committee or Executive Committee may assess discipline (including fines, probations, and suspensions) that is equal to, less than or greater than the discipline provided in the following guidelines based upon the nature of the violation and the severity of the circumstances presented in each case. A horse testing positive for a prohibited substance in any class at a show may also be disqualified from all other classes at that show in which that horse participated and such horse will forfeit all monies, awards and titles won in any class at the show. Any disqualified horse shall forfeit and must return all awards and monies won at the show. Offenses for use of a prohibited substance may, at the discretion of the Committee ultimately determining the violation, permanently remain on the responsible parties' record.

1. First Offense:

- a. Forfeiture of any winnings from the show
 - b. Fine of \$5,000
 - c. Loss of any titles and awards won at the show
 - d. One (1) year membership probation
- 2. Second Offense
 - a. Forfeiture of any winnings from the show
 - b. Fine of \$10,000
 - c. Loss of any titles and awards won at the show
 - d. One (1) year membership suspension
- 3. Third Offense
 - a. forfeiture of any winnings from the show
 - b. Fine of \$15,000
 - c. Loss of any titles and awards won at the show
 - d. Membership suspension of at least two (2) years.
- v. By submitting your entry, you acknowledge that you are familiar with and subject to the provisions of the Medication and Drug Rules and Guidelines contained in the NCHA Rulebook. You also agree that, if requested, you will provide blood samples from your horse to comply with the random testing provisions contained in those Rules and Guidelines.
- b. The Draw
 - i. The draw will not take place more than twenty-one (21) days prior to the beginning of the first schedule go-round.
 - ii. Only those horses & riders for which payment has been received in the NCHA office will be drawn. There will be no exceptions.
 - iii. If a horse properly entered is left out of the draw, a redraw will not be held. To establish a working order for this entry, a judge will draw one number based on the original draw numbers and insert the entry in the behind the entry drawn.
- c. Ties – In the event of a tie for the championship in any division:
 - i. If two entries tie, a work off will not be held to determine the winner. First and second place premiums will be added together and split fifty percent equally. (i.e. Co-Champions).
 - 1. The winner of the tie breaker for awards will be determined by adding up all five scores from the finals.
 - 2. If still tied, the scores from the previous semifinals and go-rounds will be added.
 - 3. If still tied, the winner will be determined via a coin toss with the riders/owners. The winning horse will select first from the pool of awards, with remaining prizes being selected in alternating fashion.

- ii. If 3 or more entries tie, all premiums will be split equally. A work-off will be held for prizes and the title.
- d. Horse Health Papers & Back Numbers
 - i. All horses on-grounds will be required to have current 30 day health paper and a negative EIA (Coggins) test.
 - ii. Competition Horses will be required to wear a back number while in the Coliseum, Watt Arena, warm up areas and in the practice pen.
 - iii. Back numbers may be picked up from the show office following presentation of health papers and receipt of some form of payment for pending show expenses.
 - iv. Non-Competition horses do not require back numbers but will need to be able to show proof of health papers at show office, stall office or in the practice pen.
- e. Release and Indemnification: By signing the entry form to participate in the NCHA Futurity, being held at The Will Rogers Complex of Ft. Worth, TX (the "WRC"), the entrant acknowledges and agrees that there is inherent danger in participation in the Event due to potential exposure of the entrant and his/her horses to the Novel Coronavirus (the "Coronavirus") at the Event. By signing this entry form, the entrant acknowledges and agrees that:
 - i. prior to entering the Event, he/she was made aware of and understood the potential dangers presented by the Coronavirus at the Event:
 - ii. even though the NCHA and the WRC have taken all reasonable steps to present a safe environment for the Event, there are many factors beyond their reasonable control that could lead to potential exposure to the Coronavirus at the Event; and
 - iii. he/she is voluntarily assuming all risk of harm, illness or injury to themselves or any of their horses that may be incurred by participating in the Event, or activities associated with the Event, that are in any way related to the Coronavirus. The entrant further hereby releases the NCHA and the WRC and their respective officers, owners, employees, agents, representatives, directors, shareholders, officials, administrators, contractors, vendors, sponsoring agencies, sponsors, attorneys, and the owners and lessors of animals used to conduct the Event (hereinafter collectively referred to as "Releasees") from any and all liability, claim, damages, injury, judgment, loss, liability, cost and expense (including, without limitation, attorney's fees and costs) incurred by the entrant or his/her horses allegedly arising out of or connected with his/her participation in the Event, or at any activities associated with the Event, that relate in any way to the Coronavirus. This Release includes, but is not limited to, claims for bodily injury and physical illness of the entrants and their horses in any way relating to the Coronavirus, that were allegedly incurred or sustained during the Event or at any activities associated with the Event.

21. Identification of Horses

a. Registration Papers

- i. A photocopy of the registration certificate of a registered horse or required documents for an Unregistered horse* (see below) must be provided to the NCHA office on the dates outlined below:
 1. Horses entered / named prior to August 15th – in office by August 15th.
 2. Documentation received after August 15th and thirty days prior to the first day of the event is subject to a \$100 fee.
 3. Horses entered after August 15th must furnish this identification upon entry. Failure to comply will cause all fees to be forfeited and entry disqualified.
- ii. ***Unregistered horse:** Horse that are not registered with an affiliated breed organization must submit the following to the NCHA office by August 15th:
 1. An Unregistered horse application (can be found at nchacutting.com)
 2. A bill of sale
 3. A vet statement attesting to the horse's age
 4. Photos of the horse front & back & both sides

22. Judges & Judging

- a. Judges selected to officiate the event will be scheduled by the Director of Judges. Judges may be rotated throughout the event across all divisions / levels and go rounds based on event schedule.
- b. NCHA Judging Rules set forth in the Handbook will apply
- c. The NCHA Adjusted Monitor System, and all rules and policies set forth by that system will be used.
- d. Each horse is allotted two and one-half (2 ½) minutes to work during a run. The working time starts when the rider crosses the official timeline.
 - i. As soon as the horse, owner and rider's name are called to the herd by the announcer (the "rider announcement"), the announcer will start the 1-2 ½ minute clock to let the rider know how much time the rider must cross the official timeline and begin the rider's run.
 - ii. If the rider has helped the contestant immediately preceding the rider's run or has drawn back to back in the working order, the rider will have 2 ½ minutes from the rider announcement to cross the official timeline and start their run.
 - iii. If the rider has not helped the contestant immediately preceding the rider's run or has not drawn back to back in the working order, the rider will have 1 minute from the time of the rider announcement to cross the official timeline and start their run.
 - iv. If the rider fails to cross the official timeline in the time allotted under the 1-2 ½ minute clock, a buzzer and an additional minute will be added to the 1- 2 ½

minute clock. (This is the only warning that the rider will receive relating to the 1-2 ½ minute clock.)

- v. If the rider receives any additional buzzers relating to the 1-2 ½ minute clock at any time during that show, it will result in a \$500 fine per occurrence. (i.e.- second buzzer is \$500 fine, third buzzer is an additional \$500 fine, etc.)
- vi. All fines assessed under this rule must be paid prior to the rider's exhibiting a horse in any future NCHA produced show.
- vii. All NCHA produced events will use the 1-2 ½ minute clock as described above in each class, including the finals. It will be optional for all other NCHA sanctioned events to use the 1-2 ½ minute clock as described above.

23. These Rules subject to change by action of the Executive Committee only.