



**Stallion Subscription  
And  
Foal Nomination  
Program Rules**

[Stallion.foal@nchacutting.com](mailto:Stallion.foal@nchacutting.com)

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**A. ELIGIBILITY:**

1. Stallions
  - a. Stallions must be subscribed as outlined in section B below.
2. Foals
  - a. All foals must be nominated by December 31 of their foaling year to be eligible to compete without penalty in the selected NCHA Triple Crown Event.
3. Any payments received by the NCHA with a postmark after due date will be deemed untimely and subject to the enhanced payment provisions contained in Sections B and C below.
4. Owner of Foal at time of Nomination will be the “Nominator” and remain the “Nominator”.
5. There will be no substitutions and/or refunds for any foal nomination.
6. Subscribers and Nominators must be current NCHA members at the time of the subscription/nomination.

**B. FEES FOR STALLION SUBSCRIPTIONS (PER STALLION):**

1. A stallion subscription fee is required for each breeding year for which the stallion is being subscribed. Stallions must be subscribed by **November 30<sup>th</sup>** prior to the breeding year.
2. **FIRST TIME SUBSCRIBER:** Any stallion that has never been subscribed to the program in any prior year, can be subscribed to the program for a subscription fee of \$1,600.00 so long as the nomination papers and payment are received by the deadline stated above.
3. Stallion subscription application and fee of \$2,600 is due on or before **November 30<sup>th</sup>** prior to the beginning of the breeding season for each year the stallion is to be bred.
  - a. If subscription application and fee is received after **November 30<sup>th</sup>** of the breeding season, but before December 31 for the breeding season for which the stallion is being subscribed, the fee is \$3,600.00.
  - b. If subscription application and fee is received by December 31 of the weanling year following the breeding season for which the stallion is being subscribed, the fee is \$4,600.00.
  - c. If subscription application and fee is received by December 31 of the yearling year following the breeding season for which the stallion is being subscribed, the fee is \$6,000.00; and
  - d. If subscription application and fee is received by December 31 of the two-year-old year following the breeding season for which the stallion is being subscribed or thereafter, the fee is \$7,500.00.
  - e. If subscription application and fee is received by December 31 of the three-year-old for which the stallion is being subscribed or later, the fee is \$10,000.00.

4. **FRESHMAN SIRE:** First year breeding stallions will have until **July 1** of the breeding year to subscribe for a subscription fee of \$1,600.00. Proof of first year breeder status must accompany subscription form.
5. Fees collected for stallion subscriptions will be used for the selected NCHA Triple Crown Event's added money for the show associated with the breeding crop's 4-year-old year.
6. All Fees collected for Stallion subscriptions have a \$100 included that will be put towards the Stallion Reserve Fund.
  - a. In the event the sire of an incoming foal nomination is not subscribed, but sire was advertised as subscribing, the Stallion Reserve Fund will issue a check for \$2,500 for the subscription of the stallion to the program. The owner of the stallion will be billed \$5,000.
    1. If the \$5,000 is not paid within 15 days of the billing date, the stallion owner will be suspended until the amount is paid in full.
    2. When the \$5,000 is paid in full, the entire amount will be credited to the Stallion Reserve Fund.

**C. FEES FOR FOAL NOMINATIONS (PER FOAL):**

1. The sire of the foal must be subscribed at the time the foal is nominated. No foal nomination will be accepted if the sire is not subscribed at the time of the nomination application.
2. Unregistered Horse Requirements
  - a. DNA testing results will need to be submitted with foal nomination form where registration papers for the Sire or Dam can't be obtained from an equine registry. **EXAMPLE -Foals out of a cloned sire or dam will require DNA testing results be submitted with nomination form.**
  - b. **NCHA Unregistered Horse paperwork will need to be submitted with the foal nomination form. These forms can be found on the NCHA website.**
3. Foal nomination applications and one time \$200 nomination fee is due on or before December 31 of the foals weanling year.
  - a. If a nomination application for a foal of a subscribed stallion is received by December 31 of that foal's yearling year, the nomination fee is \$600.00.
  - b. If a nomination application and for a foal of a subscribed stallion is received by December 31 of that foal's two-year-old year, the nomination fee is \$2,500.00.
  - c. If a nomination application for a foal of a subscribed stallion is received by December 31 of that foal's three-year-old year or later, the nomination fee is \$5,000.00.
4. Fees collected will be used for Triple Crown Event added money for the show associated with the breeding crop's 4-year-old year.

**D. USE OF FUNDS:**

**1. SPLIT OF ADDED MONEY:**

- a. If the added money contributed to the selected NCHA Triple Crown Event from the program does not exceed \$600,000, the split be \$50,000 to the 5-6-year-old show and the remainder to the 4-year-old show.
- b. Once the added money contributed to the selected NCHA Triple Crown Event from the program exceeds \$600,000, the split will be 10% of the added money contributed to the 5-6-year-old show and the remainder to the 4-year-old show.
- c. The split of added money between classes in each show will follow then current NCHA guidelines.

**2. Below is a breakdown of the allocation of funds by Division, for both the Derby and Classic for leveled shows:**

Historical		Class-Leveling	
Open	53%	Open	53%
Ltd. Open	3%	Int. Open	3%
N/A	N/A	Ltd. Open	0%
Non Pro	33%	Non Pro	33%
Ltd. Non Pro	3%	Int. Non Pro	3%
N/A	N/A	Ltd. Non Pro	0%
Unlim. Am.	5%	Amateur	5%
Am.	3%	Int. Amateur	3%
N/A	N/A	Ltd. Amateur	0%

The Committee agreed that the allocation of Stallion Foal Program monies should remain consistent at the 2020 Super Stakes as it was applied at the 2019 Super Stakes. This will ensure a consistent comparison of funds allocated for an event that has not been Class-Leveled versus an event that has been Class-Leveled, for review and potential improvements in the future.

**In 2021 for leveled shows:**

a. SIRE AWARDS (4 & 5 year olds only): 15% of the Stallion Subscription funds will be used for the sire award. Award will be split equally between the current 9 levels (Open, Intermediate Open, Limited Open, Non-Pro, Intermediate Non Pro, Limited Non-Pro, Amateur, Intermediate Amateur and Limited Amateur) and pay the Subscriber of the Sire for the top placing horse plus ties in each level.

b. FOAL AWARDS (4 & 5 year olds only): 15% of the Foal Nomination funds will be used for the foal nominator award. Award will be split equally between the current 9 levels (Open, Intermediate Open, Limited Open, Non-Pro, Intermediate Non Pro, Limited Non-Pro, Amateur, Intermediate Amateur and Limited Amateur) and pay the Nominator of the Foal for the top 3 placings horses plus ties in each level based on the unit system.

**After the show year 2021 the awards for Sires and Foals will be used for the 4 year old levels only.**

## E. DEFINITIONS

**Added Money** – Funds from the program designated to go to the selected NCHA Triple Crown Event purse as defined above.

**Dam** – Female parent of a foal

**Foal** – A young horse

**Freshman Sire** – A stallion that has never been breed before.

**Nominator** – An NCHA member that nominates their foal to the program.

**Nominator Award** – Award paid to the foal nominator if foal places in the top 3 of the 9 levels during the selected NCHA Triple Crown Event.

**Sire** – male parent of a foal

**Sire Award** – Award paid to the Sire Owner if foal wins 1<sup>st</sup> place in any of the 9 levels during the selected NCHA Triple Crown Event.

**Subscriber** – An NCHA member that subscribes their stallion to the program.

**Yearling** – Horse that is one year old.

**Weanling** – A horse that has just been weaned, usually between 6 months and a year old.

**AQHA** – American Quarter Horse Association

**APHA** – American Paint Horse Association

**NCHA** – National Cutting Horse Association

**DNA** - a molecule that carries the genetic instructions used in the growth, development, functioning and reproduction of all known living organisms.

## F. REVISION HISTORY

The following table describes this document's modification history for tracking purposes. Only significant changes are shown. The version number is incremented by whole numbers following major changes.

Version	Date	Modifications	Changed By
1.0	08/23/2016	Initial Approval by Executive Committee	
2.0	01/25/2017	Updated the Administrative Fee Section as approved by the Executive Committee	James Burris
3.0	08/8/2017	Updated Foal section and Eligibility Section as approved by the Executive Committee.	James Burris
4.0	10/30/2018	Updated section concerning stallion breeding report	James Burris
5.0	01/31/2019	Removed language pertaining to admin fee and loan. See historical reference note in Exhibit 1 of this document. Also, any reference to the Stallion Insurance.	James Burris
5.1	08/01/2019	Stallion insurance Fund was deemed needed and it was renamed to "Stallion Reserve Fund"	Cari Claxton
6.0	03/18/2020	Removed the word Super Stakes for it to say Triple Crown Event since the pandemic of 2020.	Cari Claxton
7.0	01/19/2021	Removed the rule requiring Stallions to issue a breeder's report or submit a substitution form when subscribing stallions.	Cari Claxton
8.0	3/18/2021	Added the split of added money at Triple Crown Leveled show. Added how the Stallion & Foal awards will be split for the 2021 Triple Crown Leveled show.	Cari Claxton

**G. EXHIBIT 1 (FEE TABLES)**

**Stallion Subscription Fees**

<b>Freshman Sire</b> - Prior to July 1 <sup>st</sup> of Breeding Year	\$ 1,600
<b>First Time Subscriber</b> – Prior to November 30 <sup>th</sup> of the breeding year	\$ 1,600
Prior to November 30 <sup>th</sup> of the breeding year	\$ 2,600
December 1 <sup>st</sup> of the prior year through December 31 <sup>st</sup> of the breeding year	\$ 3,600
January 1 <sup>st</sup> through December 31 <sup>st</sup> of foals weanling year	\$ 4,600
January 1 <sup>st</sup> through December 31 <sup>st</sup> of foals yearling year	\$ 6,000
January 1 <sup>st</sup> through December 31 <sup>st</sup> of foals two-year-old year	\$ 7,500
January 1 <sup>st</sup> through December 31 <sup>st</sup> of foals three-year-old year	\$ 10,000

\*All Stallion subscription include a \$100.00 used for the Stallion Reserve Fund.

\*\*Payments are due with a postmark of Due date.

**Foal Nomination Fees**

Weanling Year on or before December 31 <sup>st</sup>	\$ 200
Yearling Year on or before December 31 <sup>st</sup>	\$ 600
Two-Year-Old Year or before December 31 <sup>st</sup>	\$ 2,500
Three-Year-Old Year and Older or before December 31 <sup>st</sup>	\$ 5,000

\*\*Payments are due with a postmark of Due date.

**H. EXHIBIT 2 (Historical Notes) These notes are added to show significant historical changes to this document.**

- **This language was part of D. Use of Funds. The Loan has been fully paid back to the NCHA in March 2019.**

2011 signaled the start of the new Stallion Subscription & Foal Nomination Program used by the NCHA today. During the transition from the old to the new program, the NCHA loaned the subscription program \$1,500,000 to be used as \$500,000 in Super Stakes added money from 2012 – 2014. The funds are scheduled to be repaid by 2022. After all borrowed funds have been repaid, the amount contributed to the added money for the Super Stakes from the program will be the amount of fees generated from the program.